

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960

FEB 2 3 2012

CERTIFIED MAIL RETURN RECEIPT REQUESTED

Mr. Curt Baker Corporate EHS Director Nu-Foam Products, Inc. 1101 Wisdom Street Chattanooga, Tennessee 37406

Re: Expedited Settlement Agreement CAA-04-2012-8001(b)

Dear Mr. Baker:

Enclosed please find an executed copy of the Expedited Settlement Agreement (ESA) that resolves the Clean Air Act (CAA) matter (Docket No. CAA-04-2012-8001(b)) involving Nu-Foam Products, Inc. The ESA was tiled with the Regional Hearing Clerk, as required by 40 CFR Part 22 and became effective on today's date.

As required by the ESA, within thirty day from the date of this letter, payment to the Treasurer, United States of America in the amount of \$1,800 should be submitted as follows:

For payment sent via electronic transfer Federal Reserve Bank of New York ABA = 021030004 Account = 68010727 SWIFT address = FRNYUS33 33 Liberty Street New York, New York 10045 Field Tag 4200 of the Fedwire message should read: "D 68010727 Environmental Protection Agency";

For payment sent via U.S. Postal Service U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center PO Box 979077 St. Louis, MO 63197-9000; or For payment sent via overnight mail service (FedEx, UPS) U.S. Bank 1005 Convention Plaza Mail Station SL-MO-C2GL St. Louis, MO 63101 Contact: Natalie Pearson @ 314-418-4087.

Also, a written statement that payment has been made in accordance with the ESA should be sent to the following individuals:

Regional Hearing Clerk U.S. EPA Region 4 61 Forsyth Street, S.W. Atlanta, Georgia 30303

Victor L. Weeks EPCRA Enforcement Section U.S. EPA Region 4 61 Forsyth Street, S.W. Atlanta, Georgia 30303; and

Saundi Wilson Office of Environmental Accountability U.S. EPA Region 4 61 Forsyth Street, S.W. Atlanta, Georgia 30303.

Also enclosed, please find a copy of the "Notice of Securities and Exchange Commission Registrants' Duty to Disclose Environmental Legal Proceedings." This document puts you on notice of your potential duty to disclose to the Security and Exchange Commission (SEC) any environmental enforcement actions taken by the EPA. If you have any questions with regards to the SEC's environmental disclosure requirements, you may refer to the contact phone number at the bottom of the SEC Notice.

If you need additional information in this matter, please call Victor Weeks at (404) 562-9189.

Sincerely,

Caron B. Falconer Chief, EPCRA Enforcement Section

Enclosures

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 4

EXPEDITED SETTLEMENT

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HEARING CLERK

DOCKET NO: CAA-04-2012-8001(b)

This ESA is issued to: <u>Nu-Foam Products, Inc.</u> <u>1101 Wisdom ST</u> <u>Chattanooga, TN 37406</u>

for violating 40 CFR § 68.54, 40 CFR § 68.58 and Section 112(r)(7) of the Clean Air Act.

This Expedited Settlement Agreement (ESA) is being entered into by the United States Environmental Protection Agency (EPA), Region 4, the Director of the Air, Pesticides and Toxics Management Division (Complainant), and by Nu-Foam Products, Inc. (Respondent), pursuant to Section 113(d) of the Clean Air Act (the "Act"), 42 U.S.C. § 7413(d), and pursuant to 40 CFR § 22.13(b).

ALLEGED VIOLATIONS

Based on a compliance monitoring inspection conducted at the Respondent's facility located at 1101 Wisdom ST, Chattanooga, Tennessee, on October 7, 2010, EPA alleges that the Respondent violated the Act's Chemical Accident Prevention Program when at the time of inspection, Respondent did not provide evidence that:

It has provided refresher training at least every three years, or more often if necessary, to each employee operating a process, to ensure that the employee understands and adheres to the current operating procedures of the process as required by 40 CFR § 68.54(b); and

It has retained copies of the two most recent compliance audit reports that are less than five years old as required by 40 CFR § 68.58(e).

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SETTLEMENT

In consideration of Respondent's size, its full compliance history, its good faith effort to comply, and other factors as justice may require, and upon consideration of the entire record the parties enter into the ESA in order to settle the violation cited above, for the total penalty amount of \$1800.

This settlement is subject to the following terms and conditions: the Respondent by signing below admits the jurisdictional allegations contained herein, neither admits nor denies the specific factual allegations contained herein, and consents to the assessment of the penalty as stated above; Respondent waives its rights to a hearing afforded by Section 113(d)(2)(A) of the Act, 42 U.S.C § 7413(d)(2)(A), and to contest the allegations contained in this ESA; and each party to this action shall bear its own costs and fees, if any.

Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that the Respondent has corrected the alleged violation listed in this ESA and is compliant with the applicable requirements of Section 112(r) of the CAA. Respondent shall pay a civil penalty of \$1800. Please note that payment <u>should not</u> be made **until after Respondent receives a copy of the** <u>fully executed</u> ESA. Within fifteen (15) days of receiving a copy of the fully executed ESA, the Respondent shall send a cashier's check or certified check (payable to the "Treasurer, United States of America") in the amount of \$1800, in payment of the full penalty amount to one of the following addresses:

For payment sent via electronic transfer Federal Reserve Bank of New York ABA = 021030004 Account = 68010727 SWIFT address = FRNYUS33 33 Liberty Street New York, New York 10045 Field Tag 4200 of the Fedwire message should read: "D 68010727 Environmental Protection Agency";

For payment sent via U.S. Postal Service U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center PO Box 979077 St. Louis, MO 63197-9000; or For payment sent via overnight mail service (FedEx, UPS) U.S. Bank 1005 Convention Plaza Mail Station SL-MO-C2GL St. Louis, MO 63101 Contact: Natalie Pearson @ 314-418-4087.

The Respondent's name and the Docket Number of this ESA <u>must be included on the</u> <u>check</u>. The Docket Number is located at the top left corner of the first page of this ESA.

At the time of payment, Respondent shall send a separate copy of the check, and a written statement that payment has been made in accordance with this ESA to:

Saundi Wilson U. S. EPA Region 4 Office of Environmental Accountability 61 Forsyth Street Atlanta, Georgia 30303, and

Victor L. Weeks U. S. EPA Region 4 EPCRA Enforcement Section 61 Forsyth Street Atlanta, Georgia 30303

Upon Respondent's correction of alleged violations and payment of the penalty, EPA will take no further civil action against Respondent for the alleged violation of the Act referenced in this ESA. EPA does not waive any other enforcement action for any other violations of the Act or any other statute.

The following individual represents EPA in this matter and is authorized to receive service for EPA in this proceeding:

Caron B. Falconer U. S. EPA - Region 4 EPCRA Enforcement Section 61 Forsyth St. Atlanta, Georgia 30303 (404) 562-8451 This ESA is binding on the parties signing below.

This ESA is effective upon filing with the Regional Hearing Clerk.

FOR RESPONDENT: Mitt Name (print): CURT BAKER Title (print): CORP. EHS DIR.

Date: 1/13/2012

FOR COMPLAINANT:

Nu-Foam Products, Inc.

Beverly H. Banister

ABeverly H. Banister
Director
Air, Pesticides & Toxics
Management Division
Region 4

Date: 1/23/2012

I hereby ratify the ESA and incorporate it herein by reference. It is so ORDERED.

so B. Schub

Susan B. Schub Regional Judicial Officer

Date: 2/23/12

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true and correct copy of the foregoing Expedited Settlement Agreement, in the matter of Nu-Foam Products, Inc., CAA-04-2012-8001(b), on the parties listed below in the manner indicated:

(Via EPA's internal mail)

Caron B. Falconer U. S. EPA - Region 4 Air, Pesticides and Toxics Management Division 61 Forsyth Street Atlanta, GA 30303

Ellen Rouch U. S. EPA - Region 4 Office of Environmental Accountability 61 Forsyth Street Atlanta, GA 30303

Mr. Curt Baker Corporate EHS Director Nu-Foam Products, Inc. 1101 Wisdom Street Chattanooga, Tennessee 37406 (Via EPA's internal mail)

(Via Certified Mail -Return Receipt Requested)

Date: 2-23-12

Patricia A. Bullock Regional Hearing Clerk Unites States Environmental Protection Agency Region 4 Sam Nunn Atlanta Federal Center 61 Forsyth Street Atlanta, Georgia 30303 404-562-9686